



Express Mail No.: EL 477 033 978 US

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Application of: Dennis K. Watson et al.

Application No.: 09/841,963

Art Unit: 1645

Filed: April 25, 2001

Examiner: Not Yet Assigned

For: METHODS AND COMPOSITIONS FOR  
DIAGNOSIS AND TREATMENT OF  
CANCER BASED ON THE  
TRANSCRIPTION FACTOR ETS2

Atty Docket No.: 10545-015-999

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF  
NONPROVISIONAL APPLICATION**

Assistant Commissioner for Patents  
Washington, DC 20231  
S I R :

Pursuant to a Notice to File Missing Parts of Nonprovisional Application dated December 4, 2001, in connection with the above-identified application, Applicants submit herewith: (a) a Declaration for Nonprovisional Patent Application executed by inventors Dennis K. Watson, and Tula Christy Papas, legal representative of Takis S. Papas (deceased), on August 22, 2001; (b) a Power of Attorney executed by Joseph C. Good, Jr., General Counsel, for assignee, Medical University of South Carolina, on September 14, 2001; (c) a copy of the Notice to File Missing Parts of Nonprovisional Application; (d) Transmittal of Substitute Sequence Listing; and (e) Sequence Listing in paper and computer-readable form.

An Assignment of the above-captioned patent application to Medical University of South Carolina, executed by inventors Dennis K. Watson on August 22, 2001 and Tula Christy Papas, on August 22, 2001, is submitted for recordation on even date herewith. A copy of that Assignment is attached herewith.

The surcharge required to be filed with the accompanying Declaration under 37 C.F.R. § 1.16(e) has been estimated to be \$65.00 (small entity). Please charge the required fee to Pennie & Edmonds LLP Deposit Account No. 16-1150. A copy of this sheet is enclosed.

Respectfully submitted,

Date January 24, 2002

*Laura A. Coruzzi* By: *W. J. [Signature]*  
Laura A. Coruzzi 40,258 30,742  
(Reg. No.)

PENNIE & EDMONDS LLP  
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Enclosures



UNITED STATES PATENT AND TRADEMARK OFFICE

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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/841,963	04/25/2001	Dennis K. Watson	10545-015-999

CONFIRMATION NO. 6865

 20583  
 PENNIE AND EDMONDS  
 1155 AVENUE OF THE AMERICAS  
 NEW YORK, NY 100362711

FORMALITIES LETTER



\*OC000000007155435\*

Date Mailed: 12/04/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.
- This application does not contain a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). Applicant must provide such statement. If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000).
- This application includes a copy of the "Sequence Listing" on a compact disc (37 CFR 1.821); however, it does not include two copies of the compact disc (37 CFR 1.52(e)(4)) in addition to the computer readable form. 37 CFR 1.821(e). Applicant must provide the required second compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000).

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at

 Adjustment date: 03/20/2002  
 01/31/2002 MAILING 00000005 161150 09841963

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 03/20/2002 MAILING 00000001 161150 09841963  
 01-FC-105 130.00 CH

patin21help@uspto.gov or patin3help@uspto.gov

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*A copy of this notice MUST be returned with the reply.*



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Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE